

**FORM NO. MGT-9**

**EXTRACT OF ANNUAL RETURN**

**as on the financial year ended on March 31, 2023**

[Pursuant to section 92(3) of the Companies Act, 2013 and rule 12(1) of the  
Companies (Management and Administration) Rules, 2014]

**I. REGISTRATION AND OTHER DETAILS:**

CIN:-	U65929MH2019PTC334121
Registration Date:-	06/12/2019
Name of the Company	SAMCO ASSET MANAGEMENT PRIVATE LIMITED
Category / Sub-Category of the Company	Category: Company Limited by Shares Sub Category: Indian Non-Govt Company
Address of the Registered office and contact details	1003, A Wing, Naman Midtown 10 <sup>th</sup> Floor Senapati Bapat Marg, Prabhadevi Mumbai, Maharashtra-400013
Whether listed company	No
Name, Address and Contact details of Registrar and Transfer Agent, if any	N/A

**II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY**

All the business activities contributing 10 % or more of the total turnover of the company shall be stated:-

Sl. No.	Name and Description of main products / services	NIC Code of the Product/ services	% to total turnover of the company
1	Fund management activities, Investment management Services	Group 663	100%

**III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES:-**

S. No.	Name and Address of the Company	CIN/GLN	Holding/ Subsidiary / Associate	% of shares held	Applicable Section
1	Samco Securities Limited	U67120MH2004PLC146183	Holding	63.64%	Section 2(87)

**IV. SHARE HOLDING PATTERN (Equity Share Capital Breakup as percentage of Total Equity)**

**A) Category-wise Share Holding**

Category of Shareholders	No. of Shares held at the beginning of the year i.e. 01.04.2022				No. of Shares held at the end of the year i.e. 31.03.2023				% Change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
<b>A. Promoters</b>									
<b>(1) Indian</b>									
a) Individual/HUF	-	-	-	-	-	-	-	-	-
b) Central Govt.	-	-	-	-	-	-	-	-	-
c) State Govt.(s)	-	-	-	-	-	-	-	-	-
d) Bodies Corp.	5,50,00,000	-	5,50,00,000	100	5,50,00,000	-	5,50,00,000	100	-
e) Banks / FI	-	-	-	-	-	-	-	-	-
f) Any other	-	-	-	-	-	-	-	-	-
<b>Total shareholding of Promoter (A)</b>	5,50,00,000	-	5,50,00,000	100	5,50,00,000	-	5,50,00,000	100	-
<b>B. Public Shareholding</b>									
<b>Total Public Shareholding (B)</b>	-	-	-	-	-	-	-	-	-
<b>C. Shares held by Custodian for GDRs &amp; ADRs</b>	-	-	-	-	-	-	-	-	-
<b>Grand Total (A+B+C)</b>	5,50,00,000	-	5,50,00,000	100	5,50,00,000	-	5,50,00,000	100	-

**B) Shareholding of Promoter**

Sl. No.	Shareholder's Name	Shareholding at the beginning of the year			Shareholding at the end of the year			% change in shareholding during the year
		No. of Shares	% of total Shares of the company	% of Shares Pledged / encumbered to total shares	No. of Shares	% of total Shares of the company	% of Shares Pledged / encumbered to total shares	
1	Samco Securities Limited	3,50,02,000	63.64 %	-	3,50,02,000	63.64 %	-	-
2	Samco Ventures Private Limited	1,99,98,000	36.36 %	-	1,99,98,000	36.36 %	-	-

**C) Change in Promoters' Shareholding (please specify, if there is no change)**

Sl. No.		Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
	At the beginning of the year	5,50,00,000	100	5,50,00,000	100
	Date wise Increase / Decrease in Promoters Shareholding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus/ sweat equity etc):	-	-	-	-
	At the end of the year	5,50,00,000	100	5,50,00,000	100

**D) Shareholding Pattern of top ten Shareholders (other than Directors, Promoters and Holders of GDRs and ADRs):**

Sl. No.	Top 10 Shareholders	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
	At the beginning of the year	-	-	-	-
	Date wise Increase / Decrease in Promoters Shareholding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus/ sweat equity etc):	-	-	-	-
	At the end of the year	-	-	-	-

**E) Shareholding of Directors and Key Managerial Personnel:**

Sr. No.	Shareholding of each Directors and each Key Managerial Personnel	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
	At the beginning of the year	-	-	-	-
	Date wise Increase / Decrease in Promoters Shareholding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus/ sweat equity etc):	-	-	-	-
	At the end of the year	-	-	-	-

**V. INDEBTEDNESS**

**Indebtedness of the Company including interest outstanding/accrued but not due for payment:**

	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
<b>Indebtedness at the beginning of the financial year</b>				
i) Principal Amount	-	-	-	-
ii) Interest due but not paid	-	-	-	-
iii) Interest accrued but not due	-	-	-	-
<b>Total (i+ii+iii)</b>	-	-	-	-
<b>Change in Indebtedness during the financial year</b>				
* Addition	-	-	-	-
* Reduction	-	-	-	-
<b>Net Change</b>	-	-	-	-
<b>Indebtedness at the end of the financial year</b>				
i) Principal Amount	-	-	-	-
ii) Interest due but not paid	-	-	-	-
iii) Interest accrued but not due	-	-	-	-
<b>Total (i+ii+iii)</b>	-	-	-	-

## VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL-

### A. Remuneration to Managing Director, Whole-time Directors and/or Manager: NIL

Sl. No.	Particulars of Remuneration	Name of MD/ WTD/ Manager	Total Amount
1	Gross salary	-	-
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	-	-
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	-	-
	(c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961	-	-
2	Stock Option	-	-
3	Sweat Equity	-	-
4	Commission - as % of profit - others, specify...	-	-
5	Others, please specify	-	-
	Total (A)	-	-
	Ceiling as per the Act	-	-

### B. Remuneration to other Directors:

Sl. No.	Particulars of Remuneration	Name of Directors			Total Amount
		Mr. Ashok Kacker	Mr. Ishwar Naik	Mr. Kanu Doshi	
1	Independent Directors	-	-	-	-
	i) Fee for attending board /committee meetings	1,25,000	1,25,000	-	2,50,000
	ii) Commission	-	-	-	-
	iii) Others, please specify	-	-	-	-
	Total (1)	<b>1,25,000</b>	<b>1,25,000</b>	-	<b>2,50,000</b>
2	Other Non-Executive Directors	-	-	-	-
	i) Fee for attending board /committee meetings	-	-	1,50,000	1,50,000
	ii) Commission	-	-	-	-
	iii) Others, please specify	-	-	-	-
	Total (2)	-	-	<b>1,50,000</b>	<b>1,50,000</b>
	Total (B) =(1+2)	<b>1,25,000</b>	<b>1,25,000</b>	<b>1,50,000</b>	<b>4,00,000</b>
	Total Managerial Remuneration	-	-	-	-
	Overall Ceiling as per the Act	-	-	-	-

**C. Remuneration to Key Managerial Personnel other than MD/ Manager/ WTD:**

Sl. No.	Particulars of Remuneration	Key Managerial Personnel			Total
		CEO*	CS	CFO	
1	Gross salary	42,30,180	23,13,452	17,83,754	83,27,386
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	-	-		-
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	-	-		-
	(c) Profits in lieu of salary under section 17(3) Income- tax Act, 1961	-	-		-
2	Stock Option	-	-		-
3	Sweat Equity	-	-		-
4	Commission - as % of profit - others, specify...	-	-		-
5	Others, please specify	-	-		-
	<b>Total</b>	<b>42,30,180</b>	<b>23,13,452</b>	<b>17,83,754</b>	<b>83,27,386</b>

\* Mr. Umeshkumar Mehta was CEO from April 01, 2022 to August 31, 2022 and redesignated as CIO with effect from September 01, 2022 (Remuneration – Rs. 24,24,852)

\*Mr. Viraj Gandhi was appointed as CEO w.e.f September 01, 2022

**VII. PENALTIES / PUNISHMENT/ COMPOUNDING OF OFFENCES:**

There were no penalties, punishment or compounding of offences during the year ended March 31, 2023.